

# Digital Image Processing

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## Introduction to image compression

## Need for compression: “Pre-press” images



CMYK (4 bytes per pixel) instead of RGB  
Combination of photographs, text and lines

Very high quality required

4 MB January 31, 2001

Dear Mom and Dad,

How are both of you doing? I thought I would drop a line to say hi. Fanny, little Danny, and I are doing well. As you can see by the picture, little Danny isn't quite so little! Isn't this letter really great! I took a picture of Danny that was on a Kodak PhotoCD, and I merged it onto this letter using my computer. I then printed the letter using a color inkjet printer I just bought...



Danny's wearing the gorgeous BLUE sweater you gave him last time you were visiting. It just brings out the RED in his lips and cheeks. He definitely gets his good looks from his mother!

Take care of yourselves and write soon.

Love,

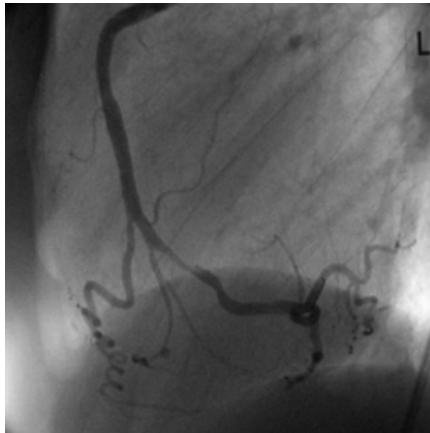
Michael



7b.3

## Need for compression: medical images

Angiography: 20 s  $\Rightarrow$  120 MB



radiography 2048x1680x10 (4 Mbyte)



Big amounts of data, because of

- High spatial resolution (e.g., radiography)
- 3D-acquisition: volumetric data (e.g., MRI) or video (e.g., angiography)

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## Other applications



Other applications  
are less critical:  
much more errors  
can be tolerated



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## Introduction to image compression...

Image compression → **compression of image data**

In general, **data compression** means **reducing the amount of data** that represents a given **quantity of information**

Two main categories:

- **Lossless** compression
  - After decompression the image is **exactly the same** as the original image
  - Compression factors are small (2 to 5)
- **Lossy** compression
  - The decompressed image is an **approximation** of the the original image
  - Compression factor typically big and increasing with the decrease of the required quality

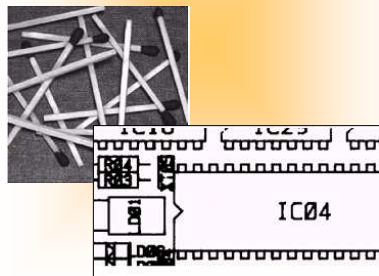
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## ... Introduction to image compression...

Image compression makes use of **spatial** and **psychovisual redundancies**

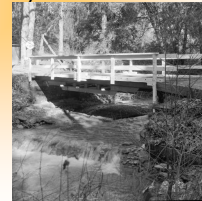
### Spatial (inter-pixel) redundancy

In most images, the value of any pixel can be reasonably well predicted from its neighbors. (At which positions in the image this does not hold or holds less well?)



### Psychovisual redundancy

Human eye is less sensitive to certain information (e.g., very high spatial frequencies in highly textured or in dark areas). Such information is psychovisually redundant and can be removed without significantly damaging the perceptual quality



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## ... Introduction to image compression

Example: improved grey scale (IGS) quantization - takes into account the characteristics of the human visual system



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Principle: add the four least significant bits of a neighbouring (previous) pixel and then quantize (to four most significant bits)

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## Remarks

In the reminder we treat **grey scale** image coding/compression

Lossy coding of **color** images

- The image is usually first transformed to YUV space
- The U and V images are then spatially subsampled: 3/4 of the pixels are removed (e.g., pixels from all even rows and columns are omitted)
- The Y, U and V images are then separately encoded

Lossless coding of **color** images

- Usually by separately encoding the R, G and B channels
- Joint coding performs better

## Principles of data compression

## Variable length coding

	fixed length	variable length	bits/symbol:	
			fixed length	variable length
A	000	11110	$l = \lceil \log_2(N) \rceil$	$\bar{l} = \sum_{i=1}^N p_i l_i$
B	001	1101		
C	010	101		
D	011	0		
E	100	100		
F	101	1100		
G	110	1110		
H	111	11111		

A D F D G D D D C D E  
 00001110101011110011011011011010011100  
 11110011000111000001010100

Denote  $p_i$  probability of occurrence of a symbol  $i$

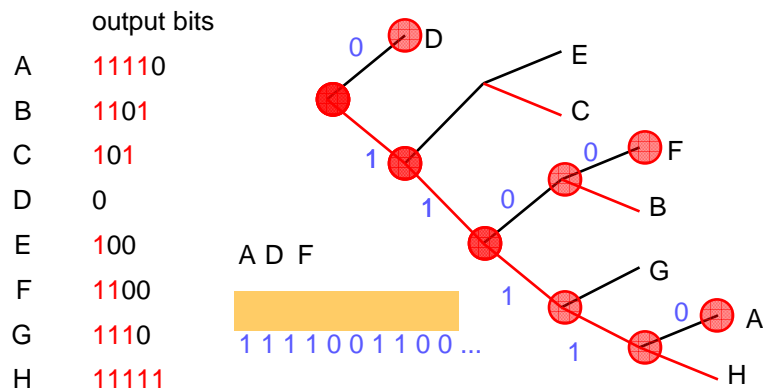
**Principle:** encode frequent symbols with a short bit string (small  $l_i$ ) and rarely appearing symbols with a long bit string

The code has to be **decodable**

- Every codeword has to be unique
- The end of a codeword has to be recognizable in the coded bit sequence

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## Prefix-code



Prefix code: no code word is at the beginning of an another code word

⇒ The decoder can always recognize the end of a code word

Such a code can be represented by leaves of a decoder tree

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## Measuring information

A random event  $A$  that occurs with probability  $P(A)$  has

$$I(A) = \log \frac{1}{P(A)} = -\log P(A) \quad (*)$$

units of information

The **base of the logarithm** in this equation determines the **unit** used to measure the information.

→ If the base **2** is selected the resulting unit is **bit**

Equation **(\*)** says: less probable events carry more information than the more probable ones. **Examples?**

7b.13

## Entropy

Stationary  
source

Symbols with possible values  $\alpha_i, i=1\dots N$ ,  
and probability  $P(\alpha_i)=p_i$

Entropy of the source  $H = -\sum_{k=1}^N p_k \log_2 p_k$  bits **remark:  $0 \log_2 0 = 0$**

$H$  the smallest possible mean value of the code word length

- For any technique that encodes symbol per symbol
- And even for any coding technique **if the successive symbols are statistically independent (and have the same distribution)**

$0 \text{ bit} \leq H \leq \log_2 N \text{ bit}$ ,  $N$  - number of symbols of the alphabet

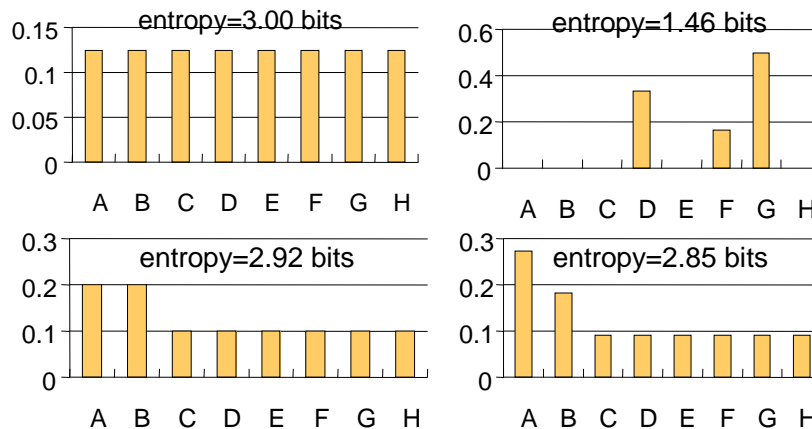
$H$  is maximal when all the symbols are equally probable:  $H_{\max} = \log_2 N$

$H \leq \log_2 M$  where  $M$  is the number of symbols with  $p_k \neq 0$ :

- logical: symbols that never appear ( $p_k=0$ ) can be deleted from the alphabet

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## Entropy: Examples



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## Huffman coding

Stationary  
source

Symbols with possible values  $\alpha_i$ ,  $i=1 \dots N$ ,  
and probability  $P(\alpha_i)=p_i$

The Huffman code is a specific pre-fix code

- the code word length  $l_k \approx -\log_2 p_k \Rightarrow \bar{l} = \sum_{k=1}^N p_k l_k \approx H$

$\Rightarrow$  **approximately** optimal among the codes that encode each symbol individually

$\Rightarrow$  and also approximately optimal among all the codes if the source generates statistically independent symbols

- Mean code word length is between  $H$  and  $H+1$   
 $\Rightarrow$  far from optimal if  $H$  is small (e.g., for  $H \leq 1$ ),  
 in particular, not well suited for binary images

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## Huffman coding: example...

Original source		Source reduction			
Symbol	Probability	1	2	3	4
$a_2$	0.4	0.4	0.4	0.4	0.6
$a_6$	0.3	0.3	0.3	0.3	
$a_1$	0.1	0.1	0.2	0.3	0.4
$a_4$	0.1	0.1			
$a_3$	0.06	0.1	0.1	0.1	0.1
$a_5$	0.04				

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## ...Huffman coding: example

Original source			Source reduction			
Sym.	Prob.	Code	1	2	3	4
$a_2$	0.4	1	0.4	1	0.4	1
$a_6$	0.3	00	0.3	00	0.3	00
$a_1$	0.1	011	0.1	011	0.2	010
$a_4$	0.1	0100	0.1	0100	0.1	011
$a_3$	0.06	01010	0.1	0101	0.1	0101
$a_5$	0.04	01011				

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## Huffman coding for text

The Huffman coding makes use of

- the fact that some letters are more frequent than others (first-order statistics)
- but **not** that some **combinations** are more frequent than others (second or higher order statistics)

Example: a Huffman code for English language

- exploits the fact that the letter E is more frequent than the letter Q
- But not that the combination TH is more frequent than XP

Higher order redundancy can be exploited by treating two or more successive letters as ONE “meta” letter (block code)

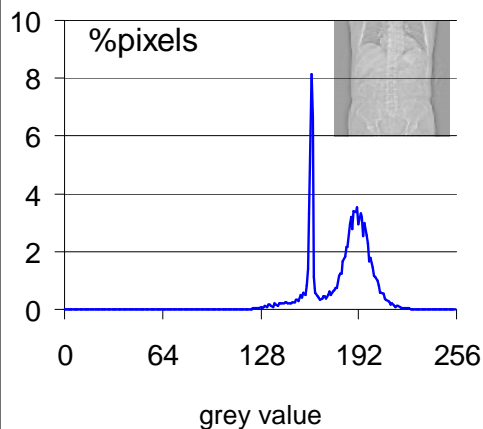
Example: English text

- Huffman code per letter  $\Rightarrow$  4.03 bit/letter
- Block-Huffman code per two letters  $\Rightarrow$  3.32 bit/letter
- Using all redundancy  $\Rightarrow$  0.6-1.3 bit/letter

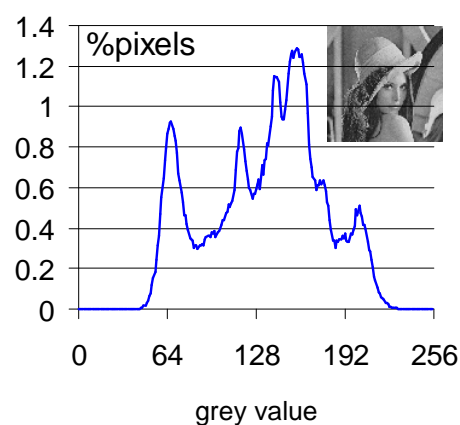
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## Entropy coding of image pixels

“CT”, entropy=5.7 bit/pixel



“Lenna”, entropy=7.2 bit/pixel



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## Remarks

### Huffman coding

- is not efficient for **image pixels** because the first-order entropy of image pixels is too big
- Is useful in combination with transform coding

### Code book has to be made available to the decoder as well

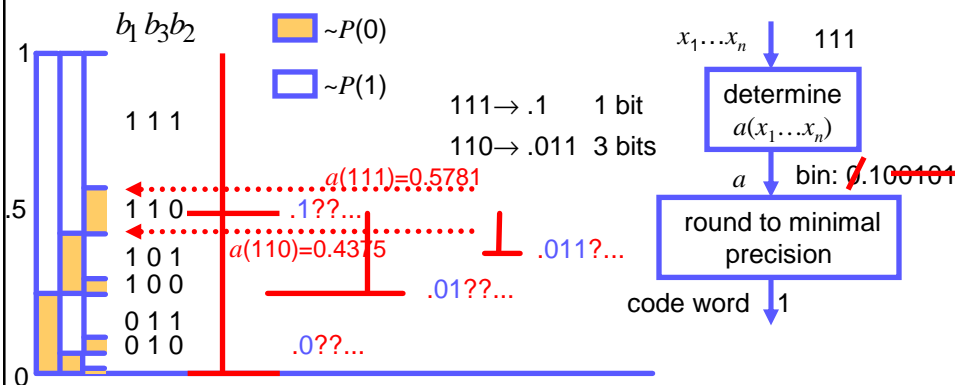
- fixed code book, chosen once for ever
- saving the code book in a compressed file
- Starting from a “standard” code book that is continuously adapted based on the decoded data  $\Rightarrow$  “adaptive” operation

### Huffman coding cannot compress 1-bit symbols ( $A=0$ , $B=1$ )

- The block Huffman coding offers a solution for this
- A better solution is arithmetic coder:
  - $\rightarrow$  Encodes arbitrarily long sequence of symbols (usually bits) as one code word that is computed from the input sequence

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## Arithmetic coding

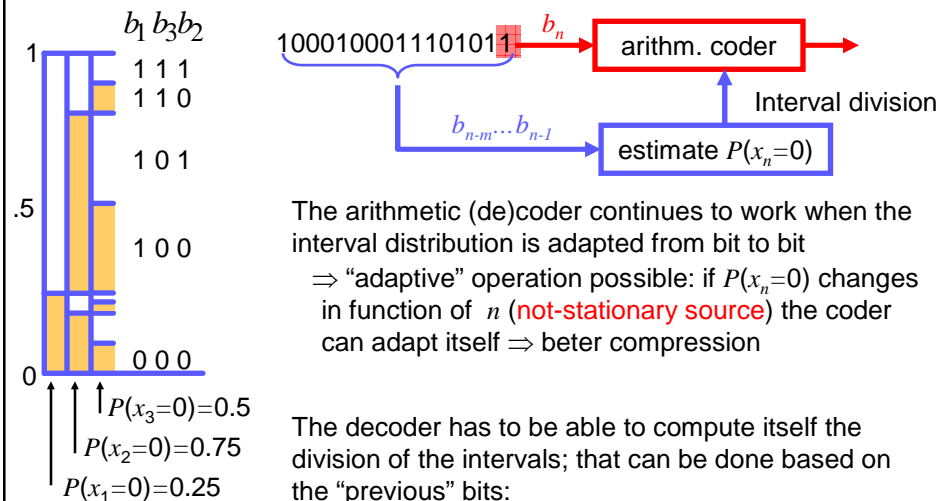


The arithmetic coder associates with every possible bit string  $x_1 \dots x_n$  a subinterval  $[a(x_1 \dots x_n), b(x_1 \dots x_n)]$  of  $[0, 1]$  with the length  $P(x_1 \dots x_n)$

The code word for  $x_1 \dots x_n$  is a binary floating point representation of  $a(x_1 \dots x_n)$ , but rounded up to a minimal number of bits that are needed to distinguish  $a(x_1 \dots x_n)$  from other possible  $a(x'_1 \dots x'_n)$

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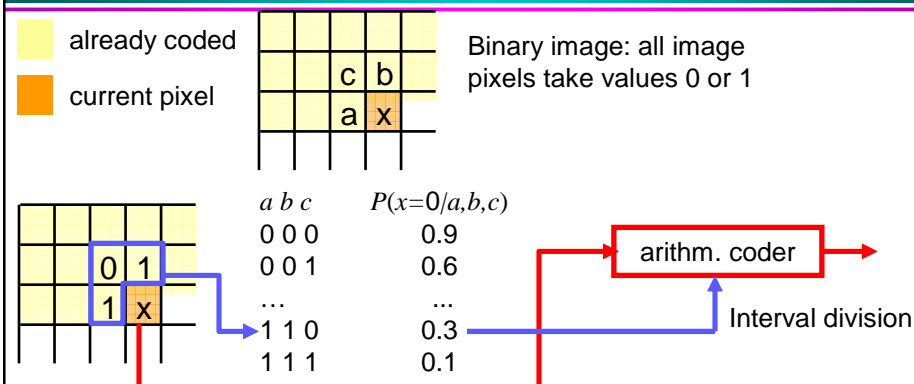
## Adaptive arithmetic coders



$$P(x_n = 0) = 1 - P(x_n = 1) \quad \text{with} \quad P(x_n = 1) \approx \frac{1}{m} \sum_{k=1}^m b_{n-k}$$

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## Context modeling: Example binary image...

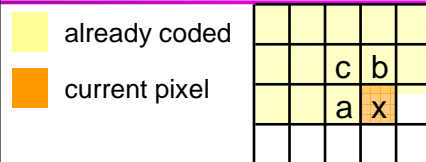


We code here a binary image with an arithmetic coder  
 The interval division of the arithmetic coder now depends on the "context", i.e., on the values of the neighboring pixels:  
 • We estimate, e.g.,  $P(x=0/a,b,c)$  and base on this the interval division of the coder instead of  $P(x=0) \Rightarrow$  we make use of spatial correlation

In practice we estimate  $P(x=0/a,b,c)$  adaptively for each context

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## Context modeling: where is the gain?



Typical images consist of large uniform areas divided by edges

A. If  $a=b=c=1$  it is likely that  $x$  is in a uniform “white” area:

•  $P(x=1/a=1, b=1, c=1)$  is very high and  $P(x=0/a=1, b=1, c=1)$  is very small

B. In the surrounding of an image edge is e.g.  $a=c=1$  and  $b=0$ ; here it is not a priori clear whether  $x$  is on the white or on the black side of the edge

•  $P(x=1/a=1, b=0, c=1)$  and  $P(x=0/a=1, b=0, c=1)$  will be closer to 0.5: e.g.  
 $P(x=1/a=1, b=0, c=1)=0.3$  en  $P(x=0/a=1, b=0, c=1)=0.7$

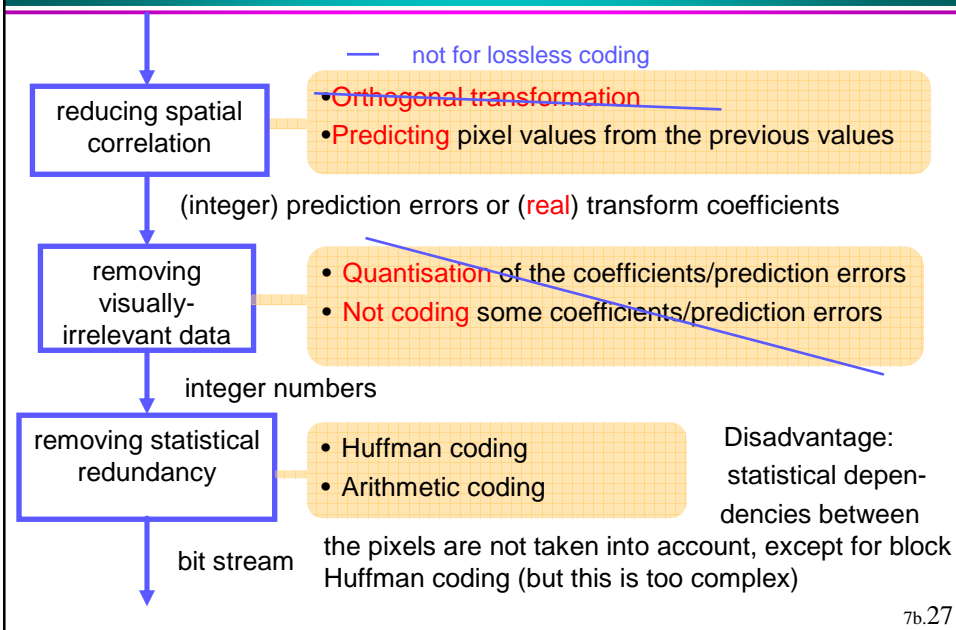
It is evident that the optimal interval division will not be the same for the cases A. and B.

⇒ we change the interval division based on the context so that for every context an optimal division is found

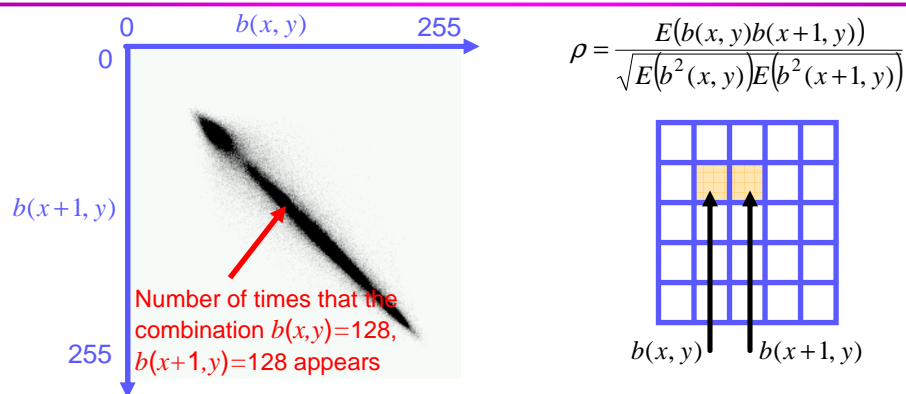
7b.25

## Lossless image compression techniques

## A general compression scheme



## Statistical properties of images

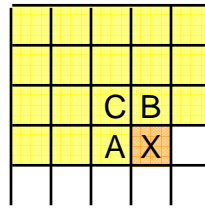


- Neighboring pixels in images tend to have similar values
- ⇒ Almost diagonal co-occurrence matrix (2-nd order histogram)
  - ⇒ Block codes perform better than pixel codes;  $H_2 \ll 2H_1$
  - ⇒ Very high correlation coefficient:  $\rho > 0.9$

## Simple predictive techniques

already coded

current pixel



Prediction:  $X_p = \lfloor aA + bB + cC \rfloor$

Coded error:  $X - X_p$

Principle:

- The “current” pixel value is first predicted from other values
  - The pixel is predicted based on the neighboring ones
  - We can use only already coded pixels (because the decoder has to be able to make the same prediction)
- The prediction error is coded using the Huffman or the arithmetic coder

Example: LJPEG (Lossless JPEG; Joint Photographic Experts Group)

- LJPEG offers choice from 7 predefined predictors
- Predictor “7” works usually the best:  

$$X_p = (A + B) / 2$$
 (“integer” division)

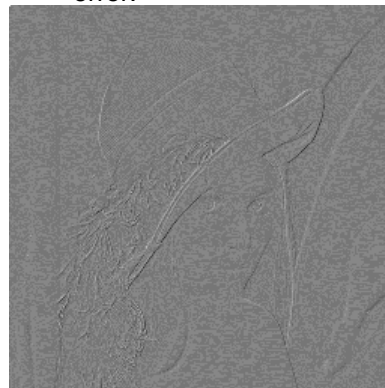
7b.29

## Prediction works: Example...

Lenna, original



Prediction error:  $X - X_p + 128$

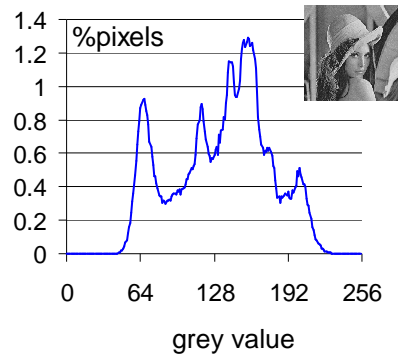


Prediction works well except at edges

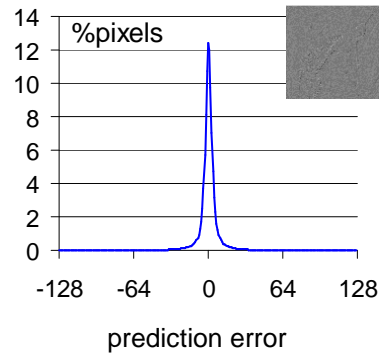
7b.30

## ...Example...

"Lenna" entropy=7.2 bit/pixel



After LJPg-prediction  
entropy=4.4 bit/pixel



After LJPEG-prediction:

- The errors are less uniformly distributed than the original values
  - The entropy of the errors is smaller than that of the original values
- ⇒ An arithmetic coder (usually) efficiently codes these than the original values

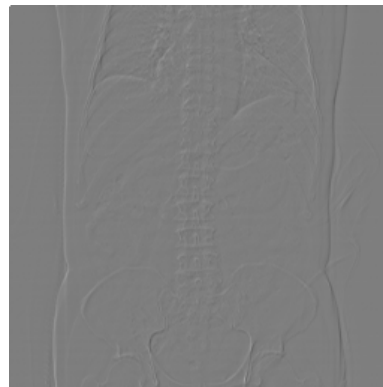
7b.31

## ...Example...

CT, original



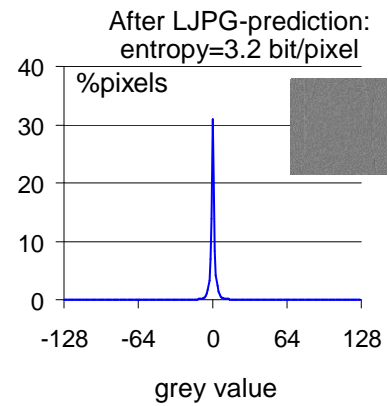
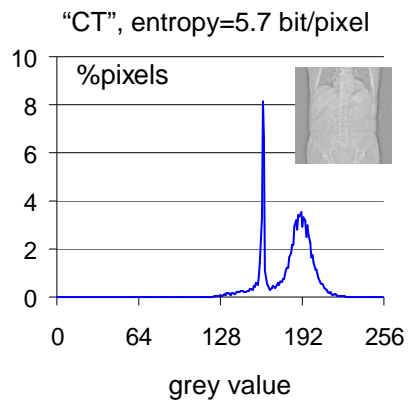
Prediction error:  $X - X_p + 128$



7b.32



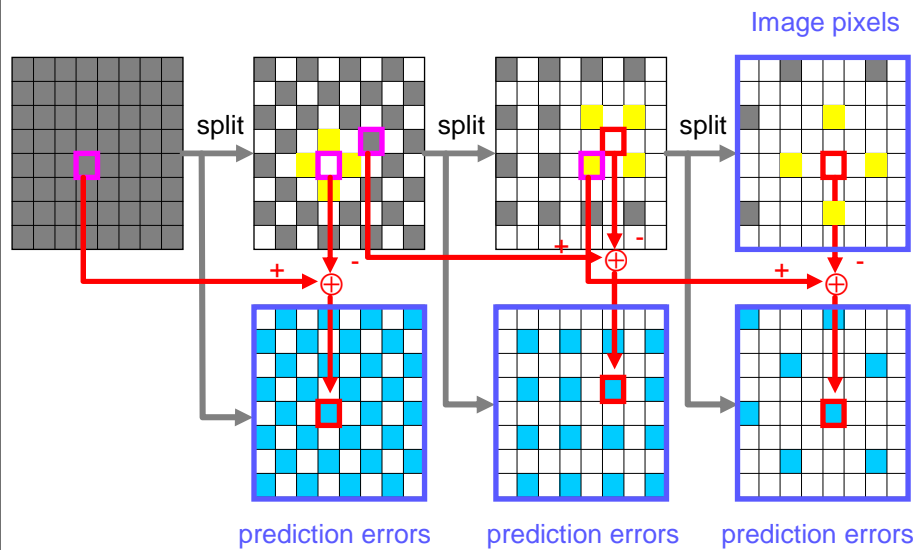
## ...Example



Gain: 1.78 bigger compression factor

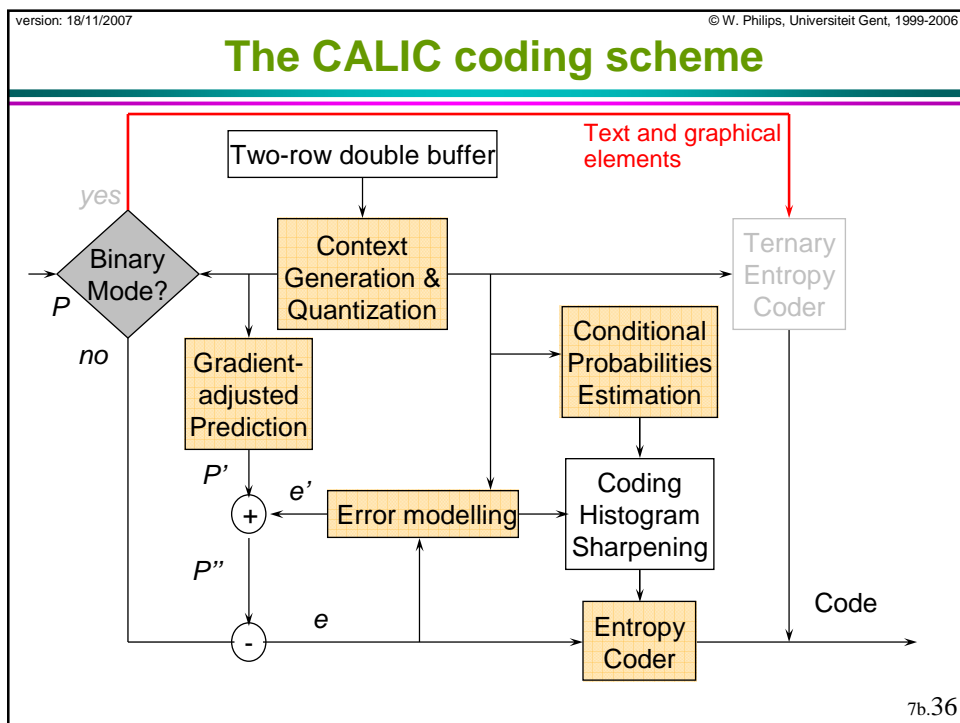
7b.33

## The "Binary Tree Predictive Coder" ...

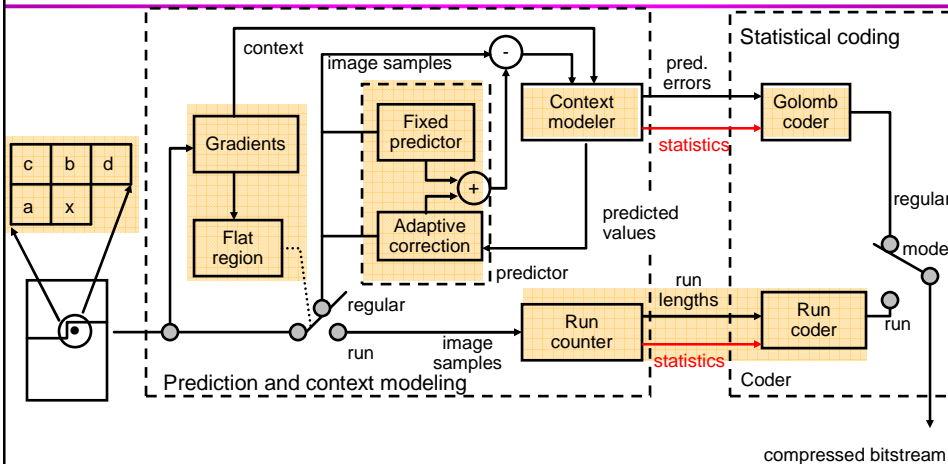


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## Lossless compression in practice



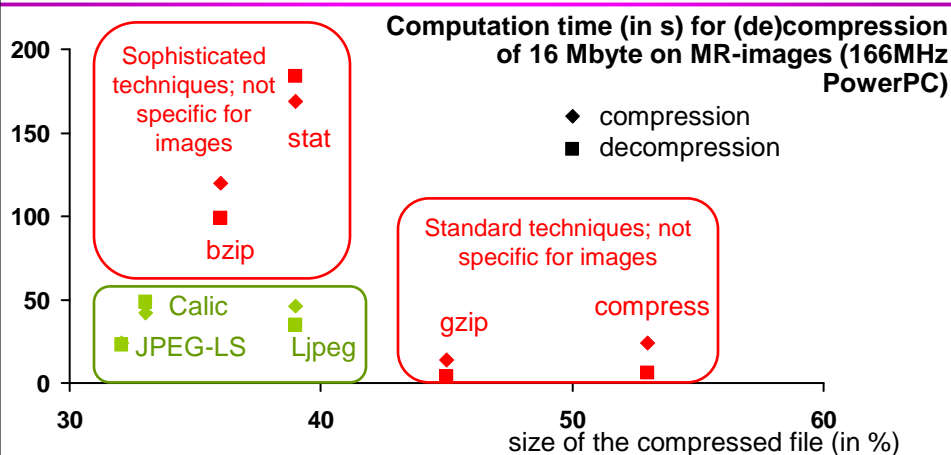
## The new standard: JPEG-LS



A very complex predictor and statistical coder  
 However, quite short computation time  
 Inspired by the so-called Calic technique, which compresses equally well but much slower

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## Lossy compression: Example

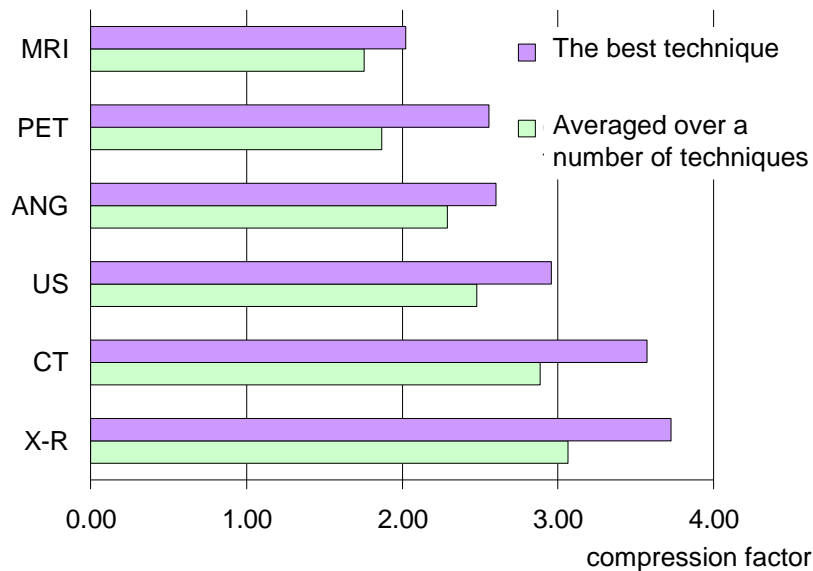


The achievable compression factor is small for lossless compression; this holds for most types of images;

Arithmetic coders perform better than Huffman coders

Advanced techniques for "general purpose" are also quite good but slower 7b.38

## Dependence on the type of the image



The compression factor depends strongly on the type of the image

7b.39

## Conclusions

### Important principles

- pre-processing: linear and non-linear prediction
- context modeling
- Statistical coding techniques: Huffman codes and arithmetic codes

### Performance comparison:

- Sophisticated techniques (e.g. JPEG-LS en Calic) are better than simple ones (b.v. LJPEG)
- Arithmetic coders perform better than Huffman coders

### Influence of the type of the data

- The compression factor strongly depends on the type of the image
- Images with big spatial resolution can be compressed best

### Results

- Typical compression factor is 2 to 4 on medical and "pre-press" images

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